

# T.K., JR.

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**Executive** whose leadership and restructuring skills have driven profit and revenue gains across companies spanning 30 industries. Global expertise in finance, turnarounds, operations, strategic planning, startups, securities and investments.

- Led operational/financial turnaround of \$25 million organization with 450 employees; averted bankruptcy, retired \$5 million debt, resolved \$6 million tax liability, achieved positive cash flow and built \$5 million equity.
- Appointed independent Director of the Board during court-ordered restructuring of NYSE REIT; enabled continued operations, led cash flow turnaround and laid the foundation for growth from \$300 million to \$700 million.

**Leader** with high level of accountability who spearheads critical corporate initiatives to advance growth/competitive position.

- Selected by US securities regulators to serve as temporary CFO for federally assisted, court-ordered surprise takeover of 800-broker securities dealer; hired new executive team and expedited financial turnaround.
- Oversaw/fulfilled regulatory board guidelines on \$1 billion in highly leveraged transactions, facilitating turnaround of failed company; recommended investment, doubling revenue in 24 months.

**Business Strategist** who spearheads mission-critical analyses to maximize stakeholder value.

- Skilled in utilization of Market Profile strategy (algorithmic trading), a Six Sigma concept employed by major hedge fund traders to manage their investments. Past doctoral student of Dr. William F Sharp, Stanford Business School.
- Experienced direct-access NASDAQ market maker, leveraging state-of-the-art technologies/platforms to optimize ROI on \$1 billion in transactions; skilled in JavaScript; MS Visual Studio market strategy software developer.

*Multifaceted Catalyst who aggressively faces challenges, quickly assesses situations and develops/implements action plans to drive profitable financial performance. Problem solver who willingly accepts difficult assignments. Skilled in complex transactions, negotiations, M&A due diligence, securities compliance and capitalization.*

PhD, MBA, Finance & Computers, Stanford Graduate School of Business.

PhD, MA, Futures Markets Pricing, Stanford Food Research Institute. BA, American Studies, Yale College.

Retired Officer, US Marine Corps; honorable discharge.

## PROFESSIONAL EXPERIENCE

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ASSOCIATES & CO., INC.

1980 - Present

### President

- Founded and manage consulting company providing financial products and business advisory services.
- Sold CME seat to launch NASD broker/dealer firm, providing investment banking services.
- Purchased seat on Chicago Mercantile Exchange (CME), trading S&P 500 stocks as floor broker arbitrageur.

**Director**, American Realty Trust, currently American Realty Investors (symbol: ARL)

- Navigated troubled NYSE REIT (parent of 4 other REITs) through challenging \$1 billion restructuring.

**CFO**, Burger Street, Inc.

- Recruited to revitalize underperforming organization; restored profitability and improved positive cash flow/equity.

**CFO**, Baraban Securities, Inc.

- Worked with SEC, NASD and California regulatory officials to takeover distressed NASD broker/dealer.

**CFO**, REOC, Inc.

- Hired to manage 4-fold growth following sudden acquisition of 2 equally sized firms in other cities.

### Finance/Business Consultant

- Secured \$50 million in venture capital funding commitments to acquire publicly held high-tech company.
- Subject matter expert retained to unravel financial accounts after bankruptcy of multimillion-dollar exchange firm.
- Managed precious metal assets for 1 of the richest men in the world, solidifying top wealth position in western hemisphere; worked with major accounting firms; monitored US and London stock exchanges.
- Personally commanded transfer of \$1+ billion in precious metals utilizing 300 armored cars and 20 cargo jetliners; consolidated assets, strengthening bank collateral for another of the world's wealthiest men.